

Refine Search

Search Results -

Terms	Documents
20020013754.pn.	2

Database:

US Pre-Grant Publication Full-Text Database
 US Patents Full-Text Database
 US OCR Full-Text Database
 EPO Abstracts Database
 JPO Abstracts Database
 Derwent World Patents Index
 IBM Technical Disclosure Bulletins

Search:

Search History

DATE: Wednesday, October 22, 2003 [Printable Copy](#) [Create Case](#)

<u>Set Name</u> side by side	<u>Query</u>	<u>Hit Count</u>	<u>Set Name</u> result set
<i>DB=PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD; PLUR=YES; OP=OR</i>			
<u>L11</u>	20020013754.pn.	2	<u>L11</u>
<u>L10</u>	20020013751.pn.	2	<u>L10</u>
<u>L9</u>	6240399.pn.	2	<u>L9</u>
<u>L8</u>	6154732.pn.	2	<u>L8</u>
<u>L7</u>	6078904.pn.	2	<u>L7</u>
<u>L6</u>	6055517.pn.	2	<u>L6</u>
<u>L5</u>	6018722.pn.	2	<u>L5</u>
<u>L4</u>	5999917.pn.	2	<u>L4</u>
<u>L3</u>	5812987.pn.	2	<u>L3</u>
<u>L2</u>	5774881.pn.	2	<u>L2</u>
<u>L1</u>	"tarbox, brian".in.	8	<u>L1</u>

END OF SEARCH HISTORY

Refine Search

Search Results -

Terms	Documents
6240399.pn.	2

Database:

US Pre-Grant Publication Full-Text Database
 US Patents Full-Text Database
 US OCR Full-Text Database
 EPO Abstracts Database
 JPO Abstracts Database
 Derwent World Patents Index
 IBM Technical Disclosure Bulletins

Search:

Search History

DATE: Wednesday, October 22, 2003 [Printable Copy](#) [Create Case](#)

<u>Set Name</u> side by side	<u>Query</u>	<u>Hit Count</u>	<u>Set Name</u> result set
<i>DB=PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD; PLUR=YES; OP=OR</i>			
<u>L9</u>	6240399.pn.	2	<u>L9</u>
<u>L8</u>	6154732.pn.	2	<u>L8</u>
<u>L7</u>	6078904.pn.	2	<u>L7</u>
<u>L6</u>	6055517.pn.	2	<u>L6</u>
<u>L5</u>	6018722.pn.	2	<u>L5</u>
<u>L4</u>	5999917.pn.	2	<u>L4</u>
<u>L3</u>	5812987.pn.	2	<u>L3</u>
<u>L2</u>	5774881.pn.	2	<u>L2</u>
<u>L1</u>	"tarbox, brian".in.	8	<u>L1</u>

END OF SEARCH HISTORY

[First Hit](#) [Fwd Refs](#)

Generate Collection

Print

L1: Entry 4 of 8

File: USPT

Nov 28, 2000

US-PAT-NO: 6154732

DOCUMENT-IDENTIFIER: US 6154732 A

TITLE: System for providing investment advice and management of pension assets

DATE-ISSUED: November 28, 2000

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Tarbox; Brian Christopher	Minnetonka	MN		

ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE CODE
GuidedChoice.com	San Jose	CA			02

APPL-NO: 08/ 900415 [\[PALM\]](#)

DATE FILED: July 25, 1997

INT-CL: [07] [G06 F 17/60](#)

US-CL-ISSUED: 705/36; 705/39

US-CL-CURRENT: [705/36](#); [705/39](#)

FIELD-OF-SEARCH: 705/36, 705/37, 705/38, 705/39, 705/35, 705/4, 705/10

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

Search Selected

Search ALL

Clear

	PAT-NO	ISSUE-DATE	PATENTEE-NAME	US-CL
<input type="checkbox"/>	4742457	May 1988	Leon et al.	364/408
<input type="checkbox"/>	4953085	August 1990	Atkins	705/36
<input type="checkbox"/>	5220500	June 1993	Baird et al.	364/408
<input type="checkbox"/>	5615109	March 1997	Eder	395/208
<input type="checkbox"/>	5774881	June 1998	Friend et al.	705/36
<input type="checkbox"/>	5784696	July 1998	Melnikoff	705/36
<input type="checkbox"/>	5806049	September 1998	Petruzzi	705/36

OTHER PUBLICATIONS

Tim McCollum, "Adding Some Byte to Retirement Plans", Nation's Business, v. 84, n. 8, pp. 52-55, May 1996.

Dialog, Database 16, "Of Mutual Interest: Mutual Fund News From the Vanguard Group", PR Newswire, Nov. 1994.

Rafter, Robert J. "The DOL 401(k) guidelines: How employees can separate information from advice", Compensation & Benefits review, vol. 28, No. 1, pp. 66-72, Feb. 1996.

Rohrer, Julie, "Advise and repent", Institutional Investor, vol. 27, No. 3, pp. 157-164, Mar. 1993.

Walter, Kate, "Make your 401(k) plan appeal to employees", HRMagazine, vol. 41, No. 11, pp. 117(9), Nov. 1996.

Tam Harbert, "The many benefits of going online", Computerworld, vol. 30, no. 32, p. 89, Aug. 5, 1996.

The Vanguard Retirement Planner, version 3.0 for Windows, Retirement Planning Software user's manual, 1995.

ART-UNIT: 271

PRIMARY-EXAMINER: MacDonald; Allen R.

ASSISTANT-EXAMINER: Kalinowski; Alexander

ATTY-AGENT-FIRM: Hopgood, Calimafde, Kalil & Judlowe

ABSTRACT:

A system and method for a data processor implemented system monitor for enabling individual employee participants to access independent professional money management for their Benefit Plan assets in a manner that is free from the traditional economic conflicts of interest inherent in previous systems is disclosed. The system and method are adapted to separate the investment management functions and fees thereof from the participant investment advice function and the fees thereof. The system and method collects, monitors and directs information from employee Benefit Plan accounts, employees, employers, fund managers and professional investment advisors to provide professional investment advice in a non conflict of interest manner and in accordance with the regulatory restraints of ERISA.

8 Claims, 5 Drawing figures

RAM Fee History Query

Revenue Accounting and Management

Name/Number: 10073632
Start Date: Any Date

Total Records Found: 7
End Date: Any Date

Accounting Date	Sequence Num.	Tran Type	Fee Code	Fee Amount	Mailroom Date	Payment Method
02/19/2002	00000127	<u>1</u>	<u>102</u>	\$168.00	02/11/2002	DA 110231
02/19/2002	00000126	<u>1</u>	<u>101</u>	\$740.00	02/11/2002	DA 110231
07/03/2002	00000084	<u>1</u>	<u>105</u>	\$130.00	06/14/2002	DA 110231
07/03/2002	00000085	<u>1</u>	<u>115</u>	\$110.00	06/14/2002	DA 110231
07/21/2003	00000002	<u>1</u>	<u>1460</u>	\$130.00	07/16/2003	CK
07/21/2003	00000003	<u>1</u>	<u>2201</u>	\$252.00	07/16/2003	CK
07/21/2003	00000004	<u>1</u>	<u>2202</u>	\$378.00	07/16/2003	CK